



BANNER & WITCOFF, LTD.
INTELLECTUAL PROPERTY LAW

601 S.W. SECOND AVENUE
SUITE 1900
PORTLAND, OREGON 97204

6
TEL: 503.279.6330
FAX: 503.279.6328
www.bannerwitcoff.com

FACSIMILE TRANSMITTAL SHEET

To:	FROM:
Examiner Vit W. Miska – GAU 2841	Thomas L. Evans
COMPANY:	DATE:
U.S. Patent and Trademark Office	November 26, 2002
FAX NUMBER:	TOTAL NO. OF PAGES (INCLUDING COVER SHEET):
(703) 872-9318	20
YOUR REFERENCE NO.:	OUR REFERENCE (C/M) No.:
Application No. 10/086,644	005127.00197

RE:
Preliminary Amendment

If you do not receive all page(s) or have any problems receiving this transmission, please call:

NAME: Thomas Evans / Karolyn **PHONE:** (503) 279-6330

COMMENTS:

FAX RECEIVED

NOV 26 2002

TECHNOLOGY CENTER 2800

IMPORTANT/CONFIDENTIAL: This message is intended only for the use of the individual or entity to whom it is addressed. This message contains information from the law firm of BANNER & WITCOFF, LTD. which may be privileged, confidential or exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, retention, archiving, or copying of the communication is strictly prohibited. If you have received this communication in error, please notify us immediately at our telephone number listed above. We will be happy to arrange for the return of this message to our offices at no cost to you.

CHICAGO

WASHINGTON, D.C.

BOSTON

PORLAND, OR

Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/086,644
Filing Date	February 28, 2002
First Named Inventor	Allan M. Schrock et al.
Group Art Unit	2841
Examiner Name	Vit W. Miska
Attorney Docket Number	005127.00197

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is hereby authorized to charge any necessary fees, including any fees under 37 CFR §1.16 or 37 CFR §1.17, to Deposit Account No. 19-0733.	

FAX RECEIVED

NOV 26 2002

TECHNOLOGY CENTER 2800

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas L. Evans, Reg. No. 35,805
Signature	
Date	November 26, 2002

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office at telephone number (703) 872-9318 on November 26, 2002.

Typed or printed name	Thomas L. Evans
Signature	
Date	November 26, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

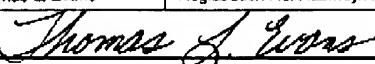
FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 642

Complete If Known	
Application Number	10/086,644
Filing Date	February 28, 2002
First Named Inventor	Allan M. Schrock et al.
Examiner Name	Vit W. Miska
Group / Art Unit	2841
Attorney Docket No.	005127.00197

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text" value="19-0733"/></p> <p>Deposit Account Name <input type="text" value="Banner & Witcoff, Ltd."/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td><input type="checkbox"/></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td><input type="checkbox"/></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td><input type="checkbox"/></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td><input type="checkbox"/></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td><input type="checkbox"/></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td><input type="checkbox"/></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td><input type="checkbox"/></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td><input type="checkbox"/></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td><input type="checkbox"/></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td><input type="checkbox"/></td></tr> <tr><td>128</td><td>1,590</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td><input type="checkbox"/></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td><input type="checkbox"/></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td><input type="checkbox"/></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td><input type="checkbox"/></td></tr> <tr><td>138</td><td>1,510</td><td>135</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td><input type="checkbox"/></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td><input type="checkbox"/></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td><input type="checkbox"/></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td><input type="checkbox"/></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td><input type="checkbox"/></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td><input type="checkbox"/></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td><input type="checkbox"/></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130</td><td>Petitions related to provisional applications</td><td><input type="checkbox"/></td></tr> <tr><td>128</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td><input type="checkbox"/></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td><input type="checkbox"/></td></tr> <tr><td>148</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td><input type="checkbox"/></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td><input type="checkbox"/></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td><input type="checkbox"/></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination or a design application</td><td><input type="checkbox"/></td></tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td colspan="4">SUBTOTAL (3) (\$ 0)</td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td colspan="4">SUBTOTAL (3) (\$ 0)</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	<input type="checkbox"/>	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>	139	130	139	130	Non-English specification	<input type="checkbox"/>	147	2,520	147	2,520	For filing a request for reexamination	<input type="checkbox"/>	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="checkbox"/>	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="checkbox"/>	115	110	215	55	Extension for reply within first month	<input type="checkbox"/>	116	390	216	195	Extension for reply within second month	<input type="checkbox"/>	117	890	217	445	Extension for reply within third month	<input type="checkbox"/>	118	1,390	218	695	Extension for reply within fourth month	<input type="checkbox"/>	128	1,590	228	945	Extension for reply within fifth month	<input type="checkbox"/>	119	310	219	155	Notice of Appeal	<input type="checkbox"/>	120	310	220	155	Filing a brief in support of an appeal	<input type="checkbox"/>	121	270	221	135	Request for oral hearing	<input type="checkbox"/>	138	1,510	135	1,510	Petition to institute a public use proceeding	<input type="checkbox"/>	140	110	240	55	Petition to revive - unavoidable	<input type="checkbox"/>	141	1,240	241	620	Petition to revive - unintentional	<input type="checkbox"/>	142	1,240	242	620	Utility issue fee (or reissue)	<input type="checkbox"/>	143	440	243	220	Design issue fee	<input type="checkbox"/>	144	600	244	300	Plant issue fee	<input type="checkbox"/>	122	130	122	130	Petitions to the Commissioner	<input type="checkbox"/>	123	130	123	130	Petitions related to provisional applications	<input type="checkbox"/>	128	180	126	180	Submission of Information Disclosure Stmt	<input type="checkbox"/>	581	40	581	40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>	148	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="checkbox"/>	179	710	279	355	Request for Continued Examination (RCE)	<input type="checkbox"/>	169	900	169	900	Request for expedited examination or a design application	<input type="checkbox"/>	Other fee (specify) _____				SUBTOTAL (3) (\$ 0)				*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0)			
Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																
105	130	205	65	Surcharge - late filing fee or oath	<input type="checkbox"/>																																																																																																																																																																																																
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>																																																																																																																																																																																																
139	130	139	130	Non-English specification	<input type="checkbox"/>																																																																																																																																																																																																
147	2,520	147	2,520	For filing a request for reexamination	<input type="checkbox"/>																																																																																																																																																																																																
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="checkbox"/>																																																																																																																																																																																																
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="checkbox"/>																																																																																																																																																																																																
115	110	215	55	Extension for reply within first month	<input type="checkbox"/>																																																																																																																																																																																																
116	390	216	195	Extension for reply within second month	<input type="checkbox"/>																																																																																																																																																																																																
117	890	217	445	Extension for reply within third month	<input type="checkbox"/>																																																																																																																																																																																																
118	1,390	218	695	Extension for reply within fourth month	<input type="checkbox"/>																																																																																																																																																																																																
128	1,590	228	945	Extension for reply within fifth month	<input type="checkbox"/>																																																																																																																																																																																																
119	310	219	155	Notice of Appeal	<input type="checkbox"/>																																																																																																																																																																																																
120	310	220	155	Filing a brief in support of an appeal	<input type="checkbox"/>																																																																																																																																																																																																
121	270	221	135	Request for oral hearing	<input type="checkbox"/>																																																																																																																																																																																																
138	1,510	135	1,510	Petition to institute a public use proceeding	<input type="checkbox"/>																																																																																																																																																																																																
140	110	240	55	Petition to revive - unavoidable	<input type="checkbox"/>																																																																																																																																																																																																
141	1,240	241	620	Petition to revive - unintentional	<input type="checkbox"/>																																																																																																																																																																																																
142	1,240	242	620	Utility issue fee (or reissue)	<input type="checkbox"/>																																																																																																																																																																																																
143	440	243	220	Design issue fee	<input type="checkbox"/>																																																																																																																																																																																																
144	600	244	300	Plant issue fee	<input type="checkbox"/>																																																																																																																																																																																																
122	130	122	130	Petitions to the Commissioner	<input type="checkbox"/>																																																																																																																																																																																																
123	130	123	130	Petitions related to provisional applications	<input type="checkbox"/>																																																																																																																																																																																																
128	180	126	180	Submission of Information Disclosure Stmt	<input type="checkbox"/>																																																																																																																																																																																																
581	40	581	40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>																																																																																																																																																																																																
148	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>																																																																																																																																																																																																
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="checkbox"/>																																																																																																																																																																																																
179	710	279	355	Request for Continued Examination (RCE)	<input type="checkbox"/>																																																																																																																																																																																																
169	900	169	900	Request for expedited examination or a design application	<input type="checkbox"/>																																																																																																																																																																																																
Other fee (specify) _____				SUBTOTAL (3) (\$ 0)																																																																																																																																																																																																	
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0)																																																																																																																																																																																																	
*or number previously paid, if greater; For Reissues, see above																																																																																																																																																																																																					

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Thomas L. Evans	Registration No. Attorney/Agent	35,805	Telephone	503-279-6330
Signature				Date	November 26, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.